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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re application of

Hirosshi TANAKA, et al.

Appln. No.: 09/854,935

Group Art Unit: 2661

Confirmation No.: 7946

Examiner: Not Yet Assigned

Filed: May 15, 2001

For: INFORMATION INTERMEDIARY APPARATUS, INFORMATION MANAGEMENT APPARATUS, AND INFORMATION COMMUNICATION SYSTEM

**SUBMISSION OF EXECUTED DECLARATION, ASSIGNMENT AND FILING FEE**

**ATTN: BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," mailed July 11, 2001, for the above application, Applicant respectfully submits herewith the Declaration for the above identified application properly executed by the inventors. Also enclosed please find an executed Assignment and PTO Form 1595 and an Information Disclosure Statement and PTO form 1449.

Checks for the statutory fee of \$1828.00 and Assignment recordation fee of \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

**Since the due date for filing the Declaration and Power of Attorney fell on September 11, 2001, a day on which the Federal Government offices were tragically closed, the filing of these papers on Wednesday, September 12, 2001, is considered timely.**

USSN: 09/854,935

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SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860  
Date: September 12, 2001

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Darryl Mexic".

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Darryl Mexic  
Registration No. 23,063



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/854,935	05/15/2001	Hiroshi Tanaka	Q64476

CONFIRMATION NO. 7946

## FORMALITIES LETTER



\*OC000000006285115\*

DARRYL MEXIC  
SUGHRUE, MION, ZINN, MACPEAK & SEAS  
2100 PENNSYLVANIA AVE., N.W.  
WASHINGTON, DC 20037

Date Mailed: 07/11/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$988.
  - \$828 for 46 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1828.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/13/2001 TBESHAH1 00000123 09854935

01 FC:101	710.00 OP
02 FC:102	160.00 OP
03 FC:103	828.00 OP
04 FC:105	130.00 OP